

I hereby certify that this correspondence is being filed by facsimile with a confirmation copy being filed by United States Postal Service as first class mail in an envelope with sufficient postage and addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the date indicated below.

Signed: _____

Peter K. Trzyna (Reg. No. 32,600)

Date: _____

October 30, 2002

PATENT

Paper No.

File No. Newmrkt-P4-99

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors	:	Anthony F. Herbst and Wayne F. Perg
Serial No.	:	09/467,646
Filed	:	December 20, 1999
For	:	DIGITAL COMPUTER SYSTEM FOR OPERATING A CUSTOMIZABLE INVESTMENT FUND
Group Art Unit	:	3628
Examiner	:	David M. Kee

The Commissioner of Patents
and Trademarks
Washington, D.C. 20231

AMENDED VERSION OF THE CLAIMS

S I R :

Set forth below is the amended version of the claims.

I. AMENDMENT

A. In the Claims

Please amend the claims as follows:

5. (Once Amended) The method of claim 2, wherein the step of generating
[digital signals investments] includes generating [a] digital signals for acquisition of derivatives.

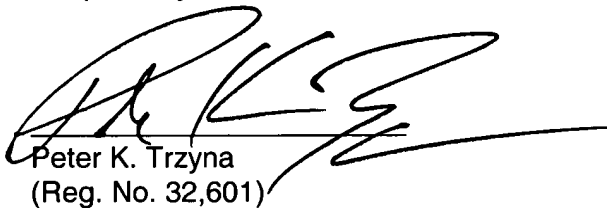
16. (Once Amended) The method of claim 13, further including the step of
receiving, at the central computer, digital signals from one of said first and second computers

specifying automatic reinvesting of client income and client capital gains using the stored client rules.

The application, as amended, is believed to be in condition for allowance, and favorable action is requested. If any extension of time for responding is required, it is requested that this be deemed a petition therefore, and the Commissioner is authorized to charge any required fee, or credit any overcharge to, PTO Account 50-0235.

Respectfully submitted,

Date: October 30, 2002


Peter K. Trzyna
(Reg. No. 32,601)

P.O. Box 7131
Chicago, IL 60680-7131

(312) 240-0824